

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Richard G. Ketchum et al.                      Art Unit : 3692  
Serial No. : 09/208,942                                      Examiner : Nga B. Nguyen  
Filed : December 10, 1998                                  Conf. No. : 8833  
Title : DUAL QUOTE MARKET SYSTEM

Mail Stop Issue Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS

Responsive to the Notice to File Corrected Application Papers mailed June 29, 2009 (a copy of which is enclosed), applicant as a large entity submits herewith the following:

X        Substitute drawing (1 sheet, FIG. 4) in compliance with 37 CFR §1.84.

It is understood that this perfects the application and no additional papers or filing fees are required.

Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: July 21, 2009

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Serial No. : 09208942  
Applicant: Ketchum  
Filing Date : 12/10/1998  
Date Mailed : 06/25/2009

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

### *Notice of Allowance Mailed*

This application has been accorded an Allowance Date and is being prepared for issuance. The application, however, is incomplete for the reasons below.

Applicant is given 2 months from the mail date of this Notice within which to correct the informalities indicated below. If the informality pertains to the abstract, specification (including claims) or drawings, the informality must be corrected with an amendment in compliance with 37 CFR 1.121 (or, if the application is a reissue application, 37 CFR 1.173). Such an amendment may be filed after payment of the issue fee if limited to correction of informalities noted herein. See Waiver of 37 CFR 1.312 for Documents Required by the Office of Patent Publication, 1280 Off. Gaz. Patent Office 918 (March 23, 2004). In addition, if the informality is not corrected until after payment of the issue fee, for purposes of 35 U.S.C. 154(b)(1)(iv), "all outstanding requirements" will be considered to have been satisfied when the informality has been corrected. A failure to reply will result in the application being ABANDONED. This period for reply is NOT extendable under 37 CFR 1.136(a).

See attachment.

Fig.4 is obscured by endorsement stamp. Please provide replacement drawings.

*A copy of this notice **MUST** be returned with the reply. Please address response to  
"Mail Stop Issue Fee, Commissioner for Patents,  
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